

CERTIFICATION OF PROFESSIONAL SUITABILITY

ATHOS ASSET MANAGEMENT S.A.

26/06/2023

LAST NAME	FIRST NAME	FATHER'S NAME	TYPE OF CERTIFICATION	ACQUISITION / RENEWAL YEAR	EXPIRATION YEAR
ALEXANDRIDIS	Elias	Ioannis	B1	2022	2027
THEODOROPOULOS	Konstantinos	Dimitrios	С	2022	2027
KYRIAZIS	Nicholas	Andreas	С	2022	2027
POLITIS	Angelos	Ioannis	С	2022	2027
SAZAKLIS	Theodoros	Georgios	С	2022	2027

TYPE OF PROFESSIONAL CERTIFICATION (article 3, HCMC dec. 18/809/21.2.2018)

- **A1** Reception & transfer of orders in transferable securities
- **A2** Reception & transfer of orders in financial derivative products
- **B1** Investment Advisory in transferable securities
- **B** Investment Advisory
- **C** Portfolio Management
- **D** Investment research & analysis of financial instruments and issuers
- **E** Intermediation in UCITS and other UCI schemes

Also provides services of certification type E
Also provides services of certification types A1 and E
Also provides services of certification types A1 and E
Also provides services of certification types A1, A2, B1 and E
Also provides services of certification types A1, A2, B1, B and E
Also provides services of certification types A1, A2, B1, B, Γ and E



CERTIFICATION OF PROFESSIONAL SUITABILITY OF **DISTRIBUTORS**

OF ATHOS ASSET MANAGEMENT S.A.

26/06/2023

DISTRIBUTOR		LAST NAME	FIRST NAME	FATHER'S NAME	TYPE OF CERTIFICATION	ACQUISITION / RENEWAL YEAR	EXPIRATION YEAR
KM CUBE A.E.P.E.Y.	1	METAXAS	Konstantinos	Nikolaos	D	2020	2025
	2	KARATHANASSIS	Emmanouil	Stefanos	С	2021	2026
BETA SECURITIES A.E.P.E.Y.	1	CHATZOPOULOS	Ioannis	Michail	B1	2022	2027
THETIS CAPITAL A.E.P.E.Y.	1	DASKALAKIS	Nikolaos	Michail	С	2022	2027
	2	DIMITRAKOPOULOU	Georgia	Nikolaos	D	2018	2023
	3	METTOS	Demetrios	Evgenios	С	2019	2024
	4	PANAGAKIS	Paraskevas	Nicholaos	С	2022	2027
	5	TSELEPIS	Georgios	Savvas	B1	2022	2027
	6	JAMOURTAS	Matthew	Zissis	C	2022	2027

TYPE OF PROFESSIONAL CERTIFICATION (article 3, HCMC dec. 18/809/21.2.2018)

A1 Reception & transfer of orders in transferable securities

A2 Reception & transfer of orders in financial derivative products

B1 Investment Advisory in transferable securities

B Investment Advisory

C Portfolio Management

D Investment research & analysis of financial instruments and issuers

E Intermediation in UCITS and other UCI schemes

Also provides services of certification type E

Also provides services of certification types A1 and E Also provides services of certification types A1 and E

Also provides services of certification types A1, A2, B1 and E

Also provides services of certification types A1, A2, B1, B and E

Also provides services of certification types A1, A2, B1, B, Γ and E